

### Spring EQ Third-Party Processor Certification

Processor Legal Name: \_\_\_\_\_

DBA (if applicable): \_\_\_\_\_

NMLS ID (if applicable): \_\_\_\_\_

EIN: \_\_\_\_\_

Business Address: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

### Certification

The undersigned certifies and represents that:

1. The Processor is an independent third-party company and is not owned by, controlled by, under common ownership with, or otherwise affiliated with any mortgage broker submitting loans to Spring EQ.
2. The Processor is appropriately licensed, registered, or otherwise authorized to perform mortgage processing activities in every jurisdiction where such licensing, registration, or authorization is required.
3. The Processor maintains policies, procedures, personnel, and operational capabilities sufficient to perform mortgage processing services in a compliant and professional manner and will only charge fees for services actually performed.
4. No fee charged constitutes compensation for the referral of business.
5. The Processor maintains records sufficient to substantiate services performed and fees charged.
6. The Processor will retain such records for a minimum of five (5) years, or longer if required by applicable law.
7. The Processor will provide records and supporting documentation to Spring EQ upon request.
8. The Processor agrees to cooperate with Spring EQ audits, quality control reviews, compliance reviews, investor reviews, and regulatory inquiries.
9. The Processor understands that failure to comply with Spring EQ requirements may result in removal from Spring EQ's approved processor list.

### Signature

Authorized Signer: \_\_\_\_\_

Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_